#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: ABLE-0027

Inventors: Scott et al.

Serial No.: 10/561,500

Filing Date: July 24, 2006

Examiner: Not Assigned

Customer No.: 26259

Group Art Unit: 1645

Confirmation No.: 9312

Title: Sponge Toxins

**Electronically Submitted via EFS-Web** 

Date: May 3, 2007

I hereby certify that this paper is being electronically submitted on the date indicated above to the Commissioner for Patents, U.S. Patent &

Trademark Office

Typed Name: Kathleen A. Tyrrell, Reg. No. 38,350

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

# REQUEST FOR CORRECTED FILING RECEIPT

Enclosed for your information is a copy of the Filing Receipt issued by the PTO with respect to the above-identified application. You will note that the following items require correction:

# In the Applicant(s):

Under inventor, Gernot Reidel, please delete "Reidel" and insert --Riedel--. The letter 'I' should come before the letter 'e'. Evidence of the correct spelling of Riedel's name is shown in the signed Declaration provided herewith.

### In the Applicant(s):

Under inventor, Roderick H. Scott, please delete "H. Roderick Scott" and insert --Roderick H. Scott--. The inventor's first name is Roderick, not the letter 'H'. Evidence of the correct spelling of Scott's name is shown in the signed Declaration provided herewith.

Since this appears to be an error on the part of the United States Patent and Trademark Office, it is respectfully requested that a corrected filing receipt be issued in this case.

Respectfully submitted,

Kathleen A. Tyrrell Registration No. 38,350

Date: May 3, 2007

Licata & Tyrrell P.C. 66 E. Main Street Marlton, New Jersey 08053

(856) 810-1515



# United States Patent and Trademark Office MAR

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignis, 22313-1450

FILING OR 371(c) TOT CLMS IND CLMS ATTY.DOCKET NO ART UNIT FIL FEE REC'D APPL NO. DATE 34 5 ABLE-0027 10/561,500 07/24/2006 1645 1065

CONFIRMATION NO. 9312

26259 LICATA & TYRRELL P.C. 66 E. MAIN STREET MARLTON, NJ 08053

Docket System Status Report Docket Book

FILING RECEIPT \*OC000000022911025\*

Date Mailed: 03/15/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

SCOTT

Riedel

RODERICK H. H. Scott Roderick, Aberdeen, UNITED KINGDOM; Marcel Jaspars, Old Aberdeen, UNITED KINGDOM;

Betina Platt, Aberdeen, UNITED KINGDOM; Gernot Reidel, Aberdeen, UNITED KINGDOM;

Debra McLaggan, Aberdeen, UNITED KINGDOM;

Kristina Sepcic, Lubliana, SLOVENIA;

#### Assignment For Published Patent Application

ABERDEEN UNIVERSITY, Aberdeen, UNITED KINGDOM

Power of Attorney: The patent practitioners associated with Customer Number 26259.

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB04/02659 06/21/2004

#### Foreign Applications

UNITED KINGDOM 0314340.1 06/19/2003 UNITED KINGDOM 0322003.5 09/19/2003

If Required, Foreign Filing License Granted: 03/14/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/561,500** 

Projected Publication Date: 06/21/2007

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Sponge toxins

**Preliminary Class** 

435

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

**GRANTED** 

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

**CUSTOMER NO: 26259** 

Send Correspondence to: Licata & Tyrrell P.C.

66 East Main Street

Marlton, New Jersey 08053

Direct Telephone Calls to: (name and telephone number)

Kathleen A. Tyrrell, 856-810-1515

Full name of sole or first inventor

Roderick, H. Scott

Sole or first inventor's signature

Reduicht 64

20/02/0

Residence

Foresterhill, Aberdeen AB25 2ZD, United Kingdom

Citizenship

British

Post Office Address

University of Aberdeen, Dept. of Biomedical Sciences, Institute of Medical Sciences

Foresterhill, Aberdeen AB25 2ZD, United Kingdom

Full name of second inventor, if any

Marcel Jaspars

Second inventor's signature

Date

Residence

Old Aberdeen AB24 3FX

Citizenship

British

Post Office Address

University of Aberdeen, Marine Natural Products Labaratory, Dept. of Chemistry

Old Aberdeen AB24 3FX

Full name of third inventor, if any Betina Platt	
Third inventor's signature	Date 21/02/06
Residence Foresterhill, Aberdeen AB25 2ZD, United Kingdom	
Citizenship British	
Post Office Address University of Aberdeen, Dept. of Biomedical Sciences, Institute of Medical Sciences	
Foresterhill, Aberdeen AB25 2ZD, United Kingdom	

Full name of fourth inventor, if any
Gernot Reidel

Fourth inventor's signature

Residence
Foresterhill, Aberdeen AB25 2ZD, United Kingdom

Citizenship
British

Post Office Address
University of Aberdeen, Dept. of Biomedical Sciences, Institute of Medical Sciences

Foresterhill, Aberdeen AB25 2ZD, United Kingdom

Full name of fifth inventor, if any
Debra McLaggan

Fifth inventor's signature

Residence
Foresterhill, Aberdeen AB25 2ZD, United Kingdom

Citizenship
British

Post Office Address
University of Aberdeen, Dept. of Biomedical Sciences, Institute of Medical Sciences

Foresterhill, Aberdeen AB25 2ZD, United Kingdom

Full name of sixth inventor, if any
Kristina Sepcic

Sixth inventor's signature

Date

De | 09 | 06

Residence
Vecna pot 111, 1000 Lubljana, Slovenian

Citizenship
Slovenian

Post Office Address
University of Ljubljana, Dept. of Biology, Biotechnical Faculty

Vecna pot 111, 1000 Lubljana, Slovenian